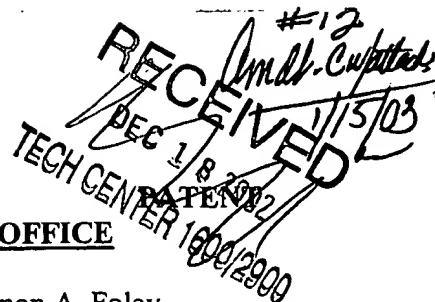


RCE filed
06.03.03



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): J.C. Aguilar Rubido, et al. Examiner: Shanon A. Foley
Serial No.: 09/857,402 Group Art Unit: 1648
Confirmation No.: 3056 Docket: 976-11 PCT/US
Filed: June 1, 2001 Dated: December 9, 2002
For: PREPARATIONS
CONTAINING VIRUS-
LIKE PARTICLES AS
IMMUNOPOTENTIATORS
ADMINISTERED
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December 9, 2002
Dated: 12/09/02

Julie L. Watts

AMENDMENT UNDER 37 C.F.R. §1.116

Sir:

This amendment is submitted in response to the Final Office Action of June 4, 2002 and
subsequent to the filing of a Notice of Appeal on November 4, 2002.

In the specification

ex. note Please delete Figure 5 and replace with the attached Figure 5 with wording in English.

Please delete the first paragraph of the amended "Summary of the Invention" at page 5
and replace with the following:

C' - - The present invention provides a vaccine formulation suitable for mucosal
administration, the vaccine includes a mixture of a surface antigen from a virus, and a non-living